



Financial Management
Procurement Division
1112 Manatee Ave W., Suite 803
Bradenton, FL 34205
Phone: (941) 749-3014
www.mymanatee.org

February 23, 2017

TO: All Interested Proposers

SUBJECT:

Request for Proposal (RFP) #16-2677WB
Financial Investment Advisor Services

ADDENDUM No. 2

The following items are issued to add to, modify and clarify the Request for Proposal document. Proposals are to be submitted on **March 2, 2017 at 3:00 P.M.**, in conformance with the additions and revision listed herein.

The deadline to submit all inquiries concerning interpretation, clarification or additional information pertaining to this RFP was February 10, 2017 at 5:00 P.M.

Proposer Note 1: Revised Proposal Date and Time Due

The revised Proposal Date and Time Due is **March 2, 2017 at 3:00 P.M.**

Proposer Note 2: No additional questions will be considered after the Deadline For Clarification Requests dated February 10, 2017.

1. Who is the current vendor or vendors?

Response: Fidelity, ICMA, Mass Mutual, Nationwide

2. What are the total plan assets?

**Response: \$2,020,024.17, \$3,139,322.39, \$18,175,639.30, \$7,554,774.83
In accordance with to number 1.**

3. What are the current investments?

Response: See numbers 1 and 2 above.

4. In the RFP there is a request for a CIMA license. Is the CIMA certificate a requirement?

Response: Refer to RFP C.05.1.

5. What is the size of the plan and number of service providers?

Response: See numbers 1 and 2.

6. What is the number of committee meetings needed and how often would we need to meet one on one with employees?

Response: The County will require four (4) quarterly meetings held quarterly with the financial committee. There are no requirements for meetings one/one with employees.

7. Will you consider out of state advisors?

Response: Yes

8. Is there an existing advisor? If so, are there issues with the advisor or what is the reason for the RFP being issued.

Response: No

9. Please remit the Valic and TIAA contracts.

Response: Not available

10. Please remit the list of funds, assets by fund, ticker symbols and full 408 (b) 2 revenue disclosure form Valic and TIAA

Response: See numbers 1 and 2, ticker symbols not available, and see number attachments.

11. Are the matching or non-matching plans governed by ERISA?

Response: No

12. Please remit a copy of your Investment Policy Statements

Response: Not available

13. Why are you conducting this RFP?

Response: Seeking record keeper to provide financial guidance for our 457 plans (monitor fund/plan performance/fiduciary responsibilities).

14. What is your budget?

Response: Not available

15. What is the size of the plan in terms of assets and number participants?

Response: See numbers 1 and 2; number of participants respectively are 53, 132, 400, 238.

16. Who is the County's current record keeper?

Response: Not applicable.

17. How many plans are there and what are the total assets in each plan?

Response: See numbers 1 and 2.

18. Can you provide a listing of the County's current investment line-up and ticker symbols?

Response: See numbers 1, 2 and 10.

19. Do you have an Investment Policy Statement? If so, can you provide a copy?

Response: See number 12.

20. Are you currently working with a financial advisor? If so, who is the advisor and what is the current compensation schedule?

Response: See number 8.

21. Why are you out to bid at this time?

Response: See number 13.

Proposals are to be prepared as instructed in this Request for Proposal and shall be received at Manatee County Purchasing Division, Suite 803, 1112 Manatee Avenue West, Bradenton, FL 34205 on or before **3:00 P.M. on March 2, 2017.**

Regards,



William Bryant
Contracts Negotiator

Attachments (4)



ICMA

| Fund Name | Balance |
|--------------------------------|----------------|
| VT AllianzGI NFJ Div Value | \$14,647.87 |
| VT AMG TimesSquare Mid Cap Gr | \$10,909.31 |
| VT Cash Management | \$52,231.59 |
| VT Contrafund® | \$115,928.61 |
| VT Diversified International | \$75,182.14 |
| VT Gold Sachs Mid Cap Value | \$408.67 |
| VT Harbor International | \$10,938.13 |
| VT Invesco Diversified Div | \$48,743.43 |
| VT Nuveen Real Estate Secs | \$33,609.29 |
| VT PIMCO High Yield | \$31,437.57 |
| VT PLUS Fund | \$750,285.48 |
| VT Puritan® Fund | \$113,588.66 |
| VT T Rowe Price® Growth Stock | \$39,226.70 |
| VT Vantagepnt Ovrseas Eq Idx | \$30,235.77 |
| VT Vantagepoint 500 Stk Idx | \$245,170.63 |
| VT Vantagepoint Aggressive Ops | \$37,807.64 |
| VT Vantagepoint Brd Mkt Idx | \$63,402.78 |
| VT Vantagepoint Cor Bnd Idx | \$57,493.71 |
| VT Vantagepoint Discovery | \$29,757.66 |
| VT Vantagepoint Equity Income | \$67,481.52 |
| VT Vantagepoint Growth | \$73,964.70 |
| VT Vantagepoint Grwth & Income | \$115,852.85 |
| VT Vantagepoint Infltn Focused | \$239,206.99 |
| VT Vantagepoint International | \$32,792.81 |
| VT Vantagepoint Md/Sm Co Idx | \$52,914.79 |
| VT Vantagepoint Milestone 2010 | \$1,349.67 |
| VT Vantagepoint Milestone 2015 | \$7,918.89 |
| VT Vantagepoint Milestone 2020 | \$42,749.18 |
| VT Vantagepoint Milestone 2025 | \$8,984.06 |
| VT Vantagepoint Milestone 2030 | \$42,655.89 |
| VT Vantagepoint Milestone 2035 | \$37,460.87 |
| VT Vantagepoint Milestone 2040 | \$22,140.31 |
| VT Vantagepoint Milestone 2045 | \$773.32 |
| VT Vantagepoint MP Cons Growth | \$23,020.72 |
| VT Vantagepoint MP Glbl Eq Gr | \$219,560.24 |
| VT Vantagepoint MP Lng-Trm Gr | \$206,339.15 |
| VT Vantagepoint MP Trad Growth | \$117,580.75 |
| VT Vantagepoint MS Ret Inc | \$20,594.76 |
| VT Vantagepoint Select Value | \$9,983.41 |
| VT Western Asset Core Plus Bnd | \$34,991.87 |
| Totals | \$3,139,322.39 |

MASS MUTUAL

| Investment Choice | Balance |
|--------------------------|-----------------|
| Inv Diversified Div | \$6,141.12 |
| Invesco SC Growth | \$6,666.69 |
| Invesco Technology | \$5,399.13 |
| AmCentury Select | \$20.31 |
| AmCent Balanced | \$9,821.41 |
| AmCent Equity Income | \$464,289.21 |
| AmCent Inc & Growth | \$158,129.21 |
| AmCent Intl Growth | \$92,762.08 |
| AmCentury Ultra | \$486,391.02 |
| AmCent Value | \$135,580.86 |
| Cal VPSRI Bal Prt | \$21,043.89 |
| DR INT TRM I | \$93,661.45 |
| Deuts Core Equity | \$1,165.90 |
| FA Balanced | \$7,884.61 |
| FA Growth & Income | \$46,412.56 |
| FA Growth Opps | \$402,234.95 |
| FA Value Strategies | \$36.65 |
| Frkln SmMd Cap Grwth | \$14,215.26 |
| Fkln Conserv All | \$8,403.55 |
| General | \$10,597,887.96 |
| Htfd Bal HLS | \$343,978.44 |
| Htfd Cap App HLS | \$1,483,659.95 |
| Htfd Div & Grwth HLS | \$70,615.65 |
| Htfd HC HLS | \$130,048.73 |
| Htfd Global Grw HLS | \$72,080.07 |
| Htfd Int Opps HLS | \$102,315.73 |
| Htfd MidCap HLS | \$519,965.29 |
| Htfd Ulshrt Bond HLS | \$226.16 |
| Htfd Sml Company HLS | \$113,716.14 |
| Htfd Stock HLS | \$335,222.31 |
| Htfd TotRet Bond HLS | \$261,478.72 |
| Htfd US Gov Sec HLS | \$561.24 |
| HIMCO VIT Index | \$322,655.08 |
| Janus Balanced | \$207,640.64 |
| Janus Enterprise | \$66,764.10 |
| Janus Overseas | \$168,004.77 |
| Janus Twenty | \$554,051.23 |
| Janus Global Res | \$129,677.96 |
| MFS High Income | \$47,037.19 |
| MA Inv Grwth Stck | \$601.57 |
| MFS Utilities | \$221,198.29 |
| Putnam Global Equity | \$18,577.31 |

| | |
|---------------------|-----------------|
| Putnam HY Advantage | \$169,827.90 |
| Putnam Intl Growth | \$22,212.52 |
| Putnam MultiCap Gr | \$21,958.66 |
| AMG Mgrs Sky Sp EQ | \$219,796.87 |
| Inv American Fran | \$13,618.96 |
| | \$18,175,639.30 |

FIDELITY

| Fund | Market Value |
|------------------------|--------------|
| 0003-FID FIDELITY FUND | \$19.13 |
| 0005-FID TREND | \$3.13 |
| 0015-FID GNMA | \$6,549.84 |
| 0021-FID MAGELLAN | \$11.95 |
| 0022-FID CONTRAFUND | \$31,484.18 |
| 0028-FID SEL | \$10,730.05 |
| 0032-FID INTERMED | \$22,940.85 |
| 0038-FID CAPITAL & | \$7,973.86 |
| 0041-FID SEL GOLD | \$4,151.35 |
| 0042-FID SEL BIOTECH | \$5,504.96 |
| 0063-FID SEL | \$6,576.24 |
| 0064-FID SEL | \$55.14 |
| 0066-FID SEL FINANCIAL | \$3,669.76 |
| 0068-FID SEL | \$5,171.50 |
| 0069-FID SEL | \$11,715.00 |
| 0093-FID OTC | \$40,403.71 |
| 0094-FID OVERSEAS | \$11.31 |
| 0096-FID SEL | \$136.33 |
| 0122-FID LEVERGD CO | \$9,083.18 |
| 0303-FID REAL ESTATE | \$22.01 |
| 0304-FID BALANCED | \$54,873.74 |
| 0305-FID INTL | \$11.31 |
| 0308-FID CONVERTIBLE | \$11,547.20 |
| 0309-FID CANADA | \$23,165.33 |
| 0312-FID BLUE CHIP GR | \$5,786.87 |
| 0314-FID ASSET MGR | \$71,222.17 |
| 0315-FID DISCIPLND | \$393.93 |
| 0316-FID LOW PRICED | \$9,715.67 |
| 0318-FID WORLDWIDE | \$12.15 |
| 0325-FID DIVERSIFD | \$27,181.24 |
| 0328-FID ASSET MGR | \$2,000.91 |
| 0330-FID DIVIDEND GR | \$72,669.19 |
| 0331-FID NEW | \$24,682.34 |
| 0332-FID EXPORT & | \$12.70 |
| 0336-FID STK SEL SM | \$12.92 |
| 0340-FID SMALL CAP | \$12.77 |
| 0342-FID NORDIC | \$51.69 |
| 0349-FID LATIN | \$9,698.72 |
| 0351-FID EMERGING | \$15,735.70 |
| 0353-FID SEL IT | \$6,209.70 |
| 0354-FID SEL MED EQ & | \$3,656.02 |
| 0355-FID FOUR IN ONE | \$31,348.47 |
| 0368-FID STRATEGIC | \$32,390.85 |

| | |
|------------------------|--------------|
| 0372-FID FREEDOM | \$574,641.69 |
| 0373-FID FREEDOM | \$33,498.23 |
| 0384-FID SM CAP | \$13,947.55 |
| 0452-FID INTM GOVT | \$113.71 |
| 0455-FID HIGH INCOME | \$26,759.82 |
| 0458-FID GOVT MMKT | \$21,878.59 |
| 0507-FID SEL BANKING | \$9,265.92 |
| 0512-FID SEL | \$6,056.47 |
| 0513-FID SEL NATURAL | \$10,150.56 |
| 0515-FID SEL | \$172.60 |
| 0517-FID SEL CONS | \$3,188.11 |
| 0580-FID SEL | \$8,707.66 |
| 0630-FMMT RETIRE | \$68,770.07 |
| 0631-FID RET GOVT MM | \$10,227.42 |
| 0662-FID LTD TERM | \$49,575.67 |
| 0718-FID FREEDOM | \$27,556.71 |
| 0794-FID INFLAT PROT | \$91.73 |
| 0818-FID INTL SMALL | \$49.26 |
| 0832-FID VALUE DISCOV | \$155.53 |
| 0833-FID REAL ESTATE | \$23.83 |
| 1271-FID BLUE CHIP | \$1,326.02 |
| 1282-FID NASDAQ | \$3,372.01 |
| 1314-FID FREEDOM | \$17,783.02 |
| 1329-FID STRAT DIV & | \$1,204.56 |
| 1368-FID INTL REAL | \$11.70 |
| 1388-FID SMALL CAP | \$65.34 |
| 1504-FID INTL SM CAP | \$72.87 |
| 1520-FID TOT MKT IDX | \$115,893.46 |
| 1521-FID EXT MKT IDX | \$87,983.71 |
| 1522-FID INTL INDEX PR | \$26,407.91 |
| 1523-FID 500 INDEX PR | \$110,821.26 |
| 1563-FID ST TR IDX PR | \$15,549.90 |
| 1564-FID INT TR IDX PR | \$41,150.75 |
| 1597-FID INTL VALUE | \$72.30 |
| 1617-FID FREEDOM | \$12,799.22 |
| 1618-FID FREEDOM | \$4,289.23 |
| 1828-FID LC VAL ENH | \$20,716.60 |
| 1829-FID LC GRO ENH | \$154.36 |
| 1958-FID ASSET MGR | \$2,315.75 |
| 1979-FID INTL GROWTH | \$11.94 |
| 2011-FID SM CAP ENH | \$20,388.47 |
| 2012-FID MID CAP ENH | \$18,766.23 |
| 2208-FID CORPORATE | \$43,382.34 |
| 2324-FID US BOND IDX | \$13,886.03 |
| 2342-FID EM MKTS IDX | \$6,236.97 |
| 2354-FID REAL EST IDX | \$23.14 |
| 2741-FID GOVT MMRK | \$21,902.90 |

Grand Total

\$2,020,024.17

NATIONWIDE

| Investment | Balance |
|----------------------------|----------------|
| NW Dest 2020 Inst Svc | \$8,481.42 |
| NW Dest 2025 Inst Svc | \$2,072.80 |
| NW Dest 2030 Inst Svc | \$23,458.55 |
| NW Dest 2035 Inst Svc | \$4,845.47 |
| NW Dest 2040 Inst Svc | \$7,610.76 |
| NW Dest 2045 Inst Svc | \$76.71 |
| NW Dest 2050 Inst Svc | \$182.21 |
| NW Inv Dest Aggr Svc | \$4,332.22 |
| | \$3,170.19 |
| | \$52,688.49 |
| NW Inv Dest Cnsvr Svc | \$1,844.31 |
| NW Inv Dest Mod Aggr Svc | \$50,575.49 |
| NW Inv Dest Mod Cnsvr Svc | \$496.06 |
| NW Inv Dest Mod Svc | \$7,960.27 |
| | \$1,594.74 |
| | \$294,639.56 |
| Fid Puritan | \$19,188.38 |
| | \$108,145.10 |
| NW BD INDX A | \$2,907.40 |
| | \$53,791.19 |
| NW HM Bd Inst Svc | \$6,899.51 |
| | \$117.58 |
| | \$58,814.76 |
| PIMCO Forgn BdUS Dlr Hdg A | \$4,146.50 |
| | \$78.39 |
| | \$32,351.22 |
| PIMCO Ttl Rtn A | \$56,587.98 |
| W&R Advisor High Income Y | \$1,668.33 |
| | \$58,819.82 |
| Fixed Assets | \$12,137.84 |
| | \$195.61 |
| | \$93,993.91 |
| Invsco ST Invmt Trsry Inst | \$17,640.03 |
| | \$346.06 |
| | |
| Mrly StblValRtrmt NACODCVA | \$1,712.68 |
| NW Mny Mkt Prm | \$42,100.52 |
| Nationwide Fixed Account | \$1,019,884.13 |
| | \$65,968.27 |
| | \$2,446,219.31 |
| AmFds New Wld R4 | \$3,646.07 |
| | \$156.30 |

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|----------------------------|--------------|
| | \$19,134.94 |
| Invsco Intl Gr R5 | \$7,229.48 |
| | \$392.39 |
| | \$36,231.82 |
| MFS Intl Val R3 | \$11,468.95 |
| | \$627.96 |
| | \$66,617.55 |
| NW INTL INDX A | \$21,623.26 |
| Opp Gbl A | \$11,164.32 |
| | \$1,046.57 |
| | \$38,865.08 |
| AmCent Gr Inv | \$7.29 |
| AmCent Val Inv | \$8,195.61 |
| | \$429.35 |
| | \$55,424.89 |
| AmFds Invmt Co Am A | \$72,453.09 |
| | \$391.07 |
| | \$153,984.77 |
| Edgr Lomx Val | \$11,010.05 |
| Fid Contra | \$34,411.96 |
| | \$234.28 |
| | \$781,490.98 |
| Fid OTC | \$175,770.40 |
| Invsco Gr Inc A | \$5,105.88 |
| | \$273.32 |
| | \$135,028.01 |
| NW Fd Inst Svc | \$4,874.70 |
| | \$234.51 |
| | \$118,728.28 |
| NW LgCap Gr | \$279,553.22 |
| NW S P 500 Indx Inst Svc | \$7,024.41 |
| | \$4,362.27 |
| NeuBer Soc Resp Inv | \$6,690.93 |
| | \$1,001.35 |
| | \$42,244.27 |
| SEI S P 500 Indx E | \$4,244.68 |
| | \$5,516.05 |
| | \$57,841.40 |
| TRowePr Gr Stk Adv | \$205,605.13 |
| | \$12,079.48 |
| JPM MidCap Value A | \$112,400.53 |
| NW MDCAP MKT INDX A | \$10,180.40 |
| | \$29,150.02 |
| WF Disc Admn | \$8,464.48 |
| Fed US GovtSec 2 5 Yr Inst | \$472.76 |
| | \$7,289.88 |
| NW NVIT Mult Mgr Sm Co I | \$122,309.63 |

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|--------------------------|----------------|
| NW SMCAP INDX A | \$192.51 |
| | \$154,889.38 |
| NW Sm Co Gr Inst Svc | \$16,509.73 |
| NW US SmCap Val Inst Svc | \$4,029.23 |
| | \$194.77 |
| | \$39,991.69 |
| NeuBer Genesis Tr | \$5,244.63 |
| | \$1,507.28 |
| | \$36,215.92 |
| CohenStrs RealEst Sec A | \$5,358.33 |
| | \$235.86 |
| | \$29,977.59 |
| | \$7,554,774.71 |